



# Tight-binding analysis of coupling effects in metamaterials

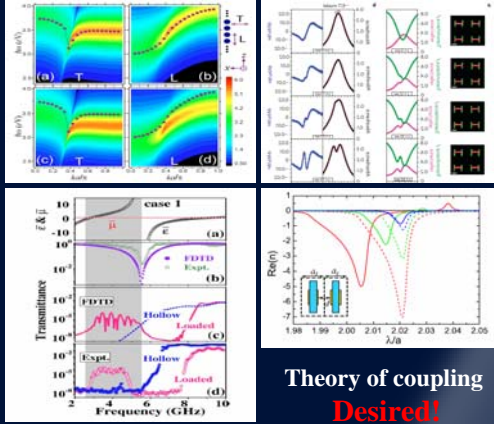
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We established a generalized tight-binding method (TBM) to study the coupling effects in metamaterials. All parameters involved in our theory can be calculated from *first principles*, and the theory is applicable to general photonic systems with both dielectric and magnetic materials. As an illustration, we applied the theory to study the cutoff waveguides loaded with resonant electric / magnetic metamaterials. We not only accurately computed the coupling strengths between two resonant metamaterials, but also revealed a number of interesting coupling-induced phenomena. Microwave experiments and full-wave numerical simulations were performed to successfully verify all predictions drawn from the TBM.

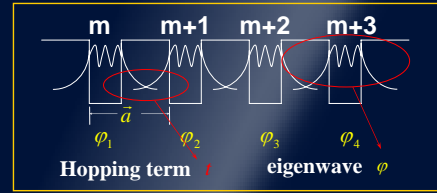
## Background and motivations



## Our approach: TBM for photons!

### Theoretical framework

$$\hat{H} = \begin{pmatrix} 0 & i\frac{1}{\varepsilon}\nabla \times \\ -i\frac{1}{\mu}\nabla \times & 0 \end{pmatrix}, \quad i\frac{\partial}{\partial t}|\varphi\rangle = \hat{H}|\varphi\rangle, \quad |\varphi\rangle = \begin{pmatrix} \vec{E} \\ \vec{H} \end{pmatrix}$$



$$(\hat{H}_0 + \hat{V}_i)|\varphi_i(\vec{r})\rangle = \omega_0|\varphi_i(\vec{r})\rangle, \quad \hat{H}_0 = \begin{pmatrix} 0 & i\frac{1}{\varepsilon_{ref}(\vec{r})}\nabla \times \\ -i\frac{1}{\mu_{ref}(\vec{r})}\nabla \times & 0 \end{pmatrix}$$

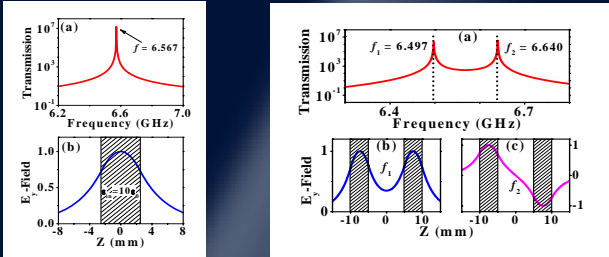
$$\hat{V}_i = \begin{pmatrix} 0 & i\Delta\frac{1}{\varepsilon(\vec{r})}\nabla \times \\ -i\Delta\frac{1}{\mu(\vec{r})}\nabla \times & 0 \end{pmatrix}, \quad t = \langle \varphi_m | \sum_{i \neq n} V_i | \varphi_n \rangle / \langle \varphi_i | \varphi_i \rangle$$

## Benchmark tests

TE+  $\varepsilon(\vec{r})$

$t_{NN} < 0$

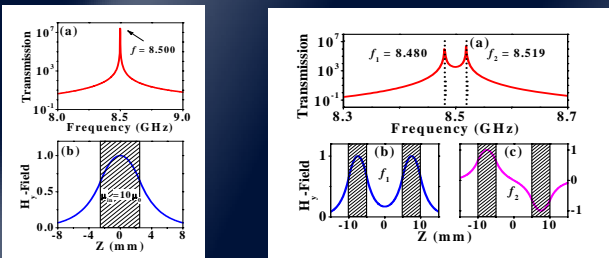
$$\hat{H} = \begin{pmatrix} \omega_0 & t_{NN} \\ t_{NN} & \omega_0 \end{pmatrix}, \quad \omega = \begin{cases} \omega_0 + t_{NN}, & |\psi^+\rangle = |\varphi_1\rangle + |\varphi_2\rangle \text{ even} \\ \omega_0 - t_{NN}, & |\psi^-\rangle = |\varphi_1\rangle - |\varphi_2\rangle \text{ odd} \end{cases}$$



TM+  $\mu(\vec{r})$

$t_{NN} < 0$

$$\hat{H} = \begin{pmatrix} \omega_0 & t_{NN} \\ t_{NN} & \omega_0 \end{pmatrix}, \quad \omega = \begin{cases} \omega_0 + t_{NN}, & |\psi^+\rangle = |\varphi_1\rangle + |\varphi_2\rangle \text{ even} \\ \omega_0 - t_{NN}, & |\psi^-\rangle = |\varphi_1\rangle - |\varphi_2\rangle \text{ odd} \end{cases}$$



## Applications to realistic systems

Realistic system

Peaks' positions  
2, 3, 4, 5 layers case

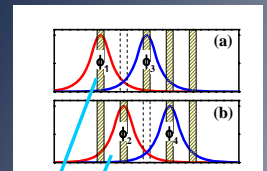
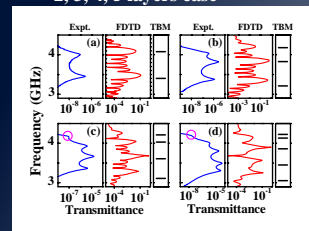


Waveguide  
 $10.16 \times 22.86$

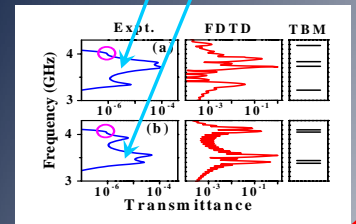
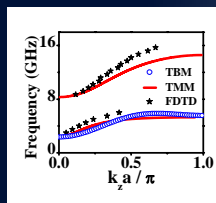
E-resonance

$$\varepsilon_r^{\text{in}} = 1 + \frac{280}{5.08^2 - f^2} + \frac{1950}{15.95^2 - f^2}$$

Peaks' positions  
non-periodic cases



Dispersion relationship



- > Establish a theory to study the couplings in photonic systems
- > Parameters determined from first principle
- > Applicable to both dielectric and magnetic systems
- > We applied our theory to realistic systems, excellent agreement between theory and experiments

Reference: Hao Xu, Qiong He, Shiyi Xiao, Bin Xi, Jiaming Hao, and Lei Zhou, J. Appl. Phys. 109, 023103 (2011).

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