

# Derivation of an effective model for plasmonic coupling

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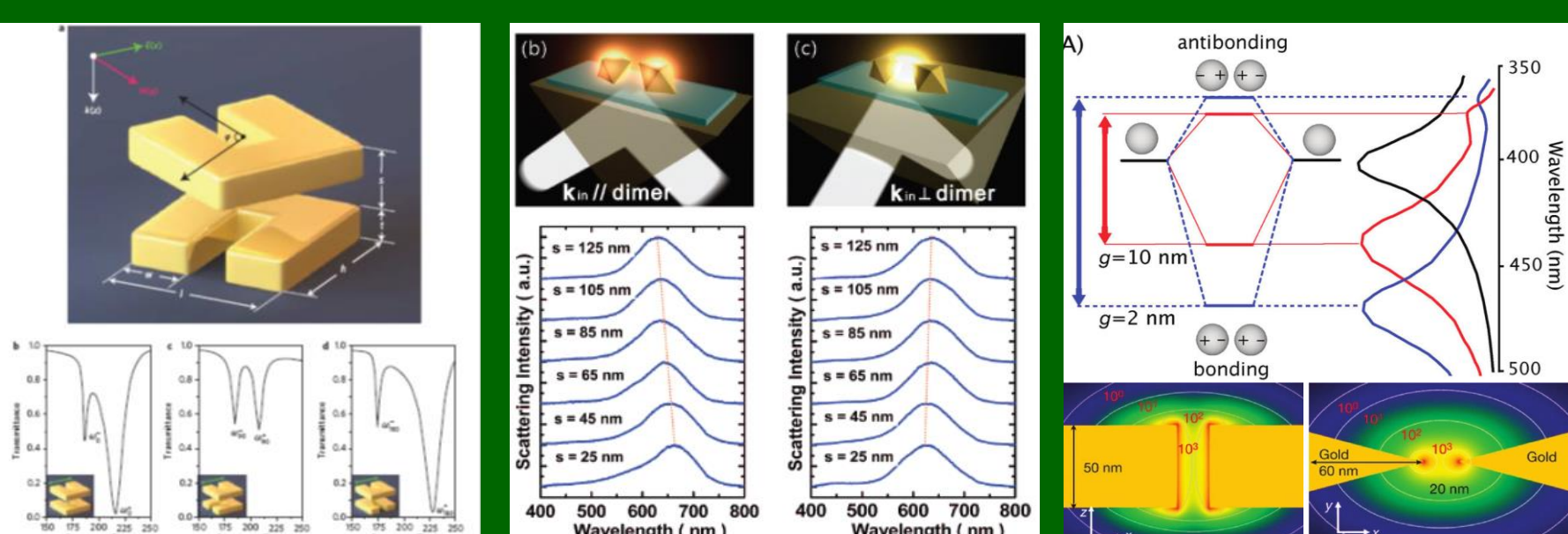
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**Abstract** - Based on a recently developed **tight-binding theory** for dispersive photonic systems, we rigorously derived an **effective model** to describe the plasmonic **couplings between** nanoparticles of **general shape**. The effective model was **justified by full-wave simulations** in different plasmonic coupled systems with distinct geometry. We show that the coupling strength between certain plasmonic nanoparticles **can be tuned** through changing the orientations of nanoparticles, leading to many fascinating physical phenomena such as **ultra-slow-wave plasmon propagation** and Rabi-like oscillations.

## Motivations:

Couplings are essential in many fascinating physical phenomena [2-4].



## Problems

- Physical understandings are mostly based on full-wave simulations.
- Available theories are empirical (parameters from simulation) restricted to certain geometries

## Question

- Find a complete effective model
  - From "ab-initio" theory
  - Describing both electric and magnetic interactions

## I. Theory

- Tight-binding method (TBM) [5]

Hamiltonian operator:

$$\hat{H} = \begin{pmatrix} 0 & i\mu^{-1}\nabla \times & 0 & 0 \\ i\epsilon^{-1}\nabla \times & 0 & 0 & i\epsilon^{-1} \\ 0 & 0 & 0 & -i \\ 0 & -i\omega_b^2\epsilon_\infty & i\omega_e^2 & i\Gamma \end{pmatrix} |\varphi\rangle = \begin{pmatrix} \vec{E} \\ \vec{H} \\ \vec{V} \\ \vec{P} \end{pmatrix} \quad (1)$$

For single particle:

$$(\hat{H}_h + \hat{V}_i) |\Phi(\vec{r})\rangle = 2\pi f_0 |\Phi(\vec{r})\rangle \quad (2)$$

Eigen frequencies of coupled system:

$$\det[f_0\delta_{ij} + t_{i,j} - f\delta_{ij}] = 0 \quad (3)$$

Where

$$t_{i,j} = \langle \varphi_i | \sum_{l \neq j} \hat{V}_l | \varphi_j \rangle / (2\pi \langle \Phi | \Phi \rangle), \langle \varphi_i | = \langle \Phi(\vec{r} - \vec{R}_i) | \quad (4)$$

Eq.(3) gives the frequency splitting:

$$\Delta f = f_+ - f_- = 2t_{1,2} \quad (5)$$

From eq.(4) using multiple expansion:

$$t_{1,2} = \frac{f_r}{2\langle \Phi | \Phi \rangle} \int_{S_1} d\vec{r} [(\epsilon_h - \epsilon_s(\omega_r)) \vec{E}_r(\vec{r} - \vec{R}_1) \cdot \vec{E}_r(\vec{r} - \vec{R}_2)] \\ = -\frac{f_0}{2} \int_{S_1} d\vec{r} [\vec{P}_1^*(\vec{r}) \cdot (-\nabla\varphi_2(\vec{r}) + i\omega_0 \vec{A}_2(\vec{r}))] = t_{1,2}^{(E)} + t_{1,2}^{(H)} \quad (6)$$

$$= t_{pp} - \frac{f_0}{2} \frac{(kd)^2}{8\pi\epsilon_0} \left( \frac{\vec{p}_1 \cdot \vec{p}_2 + (\vec{p}_1 \cdot \vec{d})(\vec{p}_2 \cdot \vec{d})}{d^3} \right) + i \frac{f_0}{2} \frac{\omega_0}{4\pi\epsilon_0 c^2 d^3} \vec{p}_1 \cdot (\vec{m}_2 \times \vec{d}) + t_{mm} \quad (7)$$

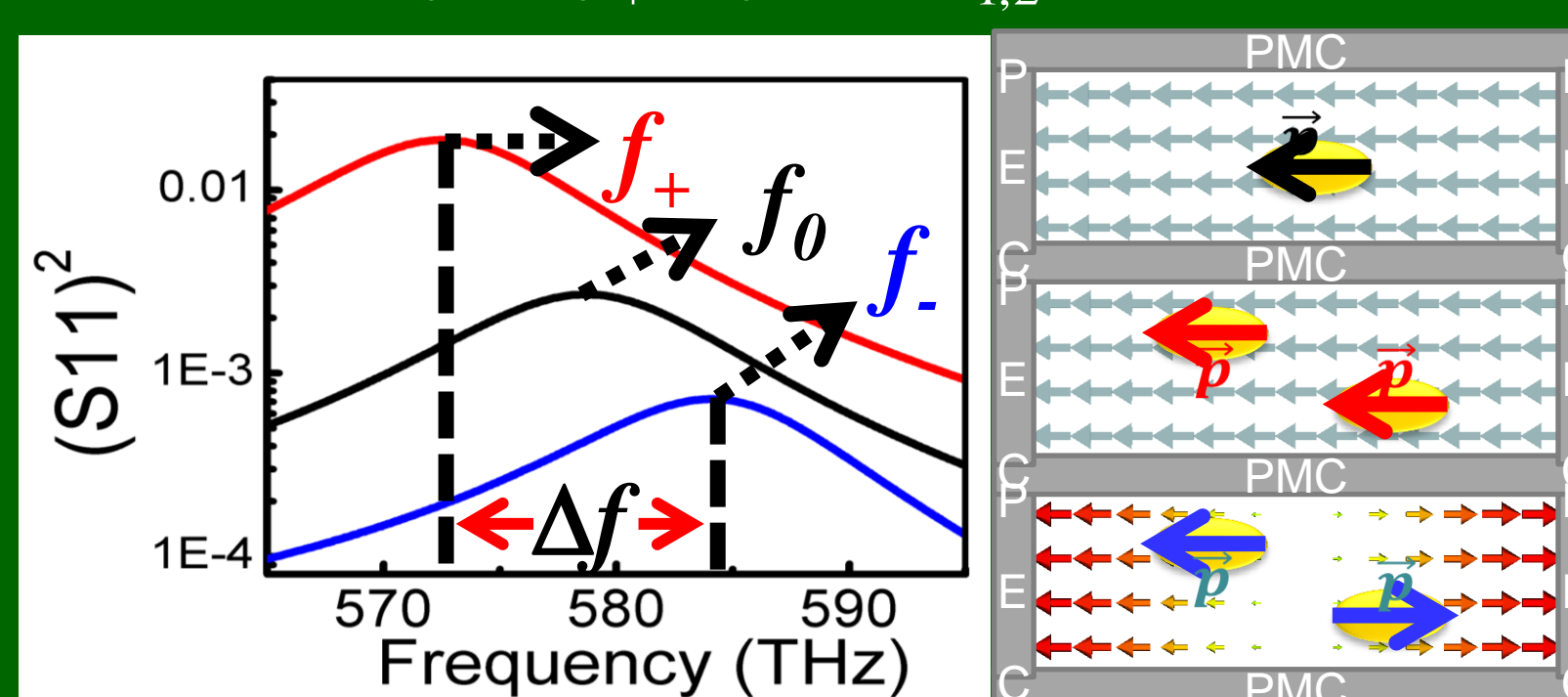
$$t_{1,2} = t_{pp} + t_{pp}^{rad} + t_{pm} + t_{mm} \quad (7)$$



## II. Simulation

- Frequency splitting

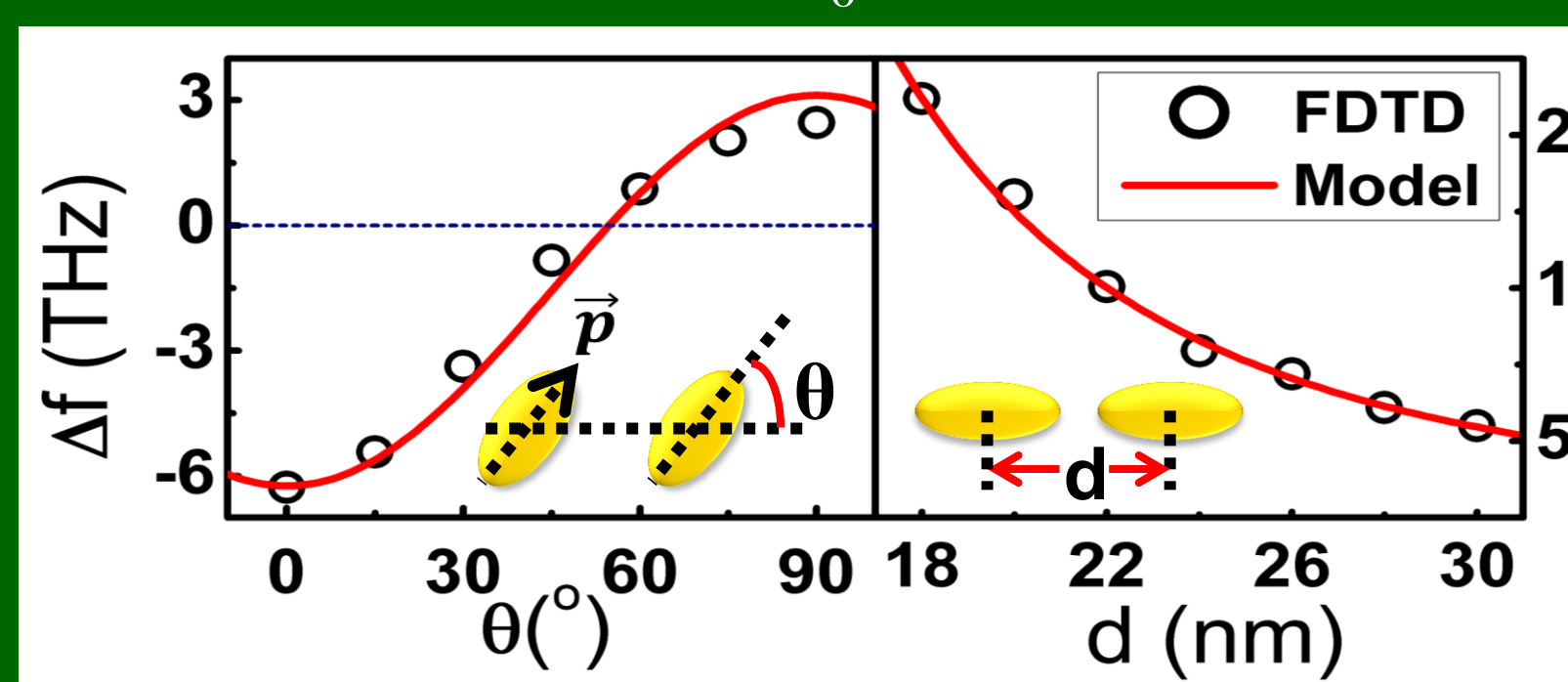
$$\Delta f = f_+ - f_- = 2t_{1,2}$$



- TEM mode  $\rightarrow f_0$   $f_+$
- TE10 mode  $\rightarrow f_-$
- Get two modes independently

- Electric dipolar interaction

$$\Delta f = 2t_{pp} \approx \frac{f_0}{4\pi\epsilon_0} \frac{(1-3\cos^2\theta)|\vec{p}|^2}{d^3}$$

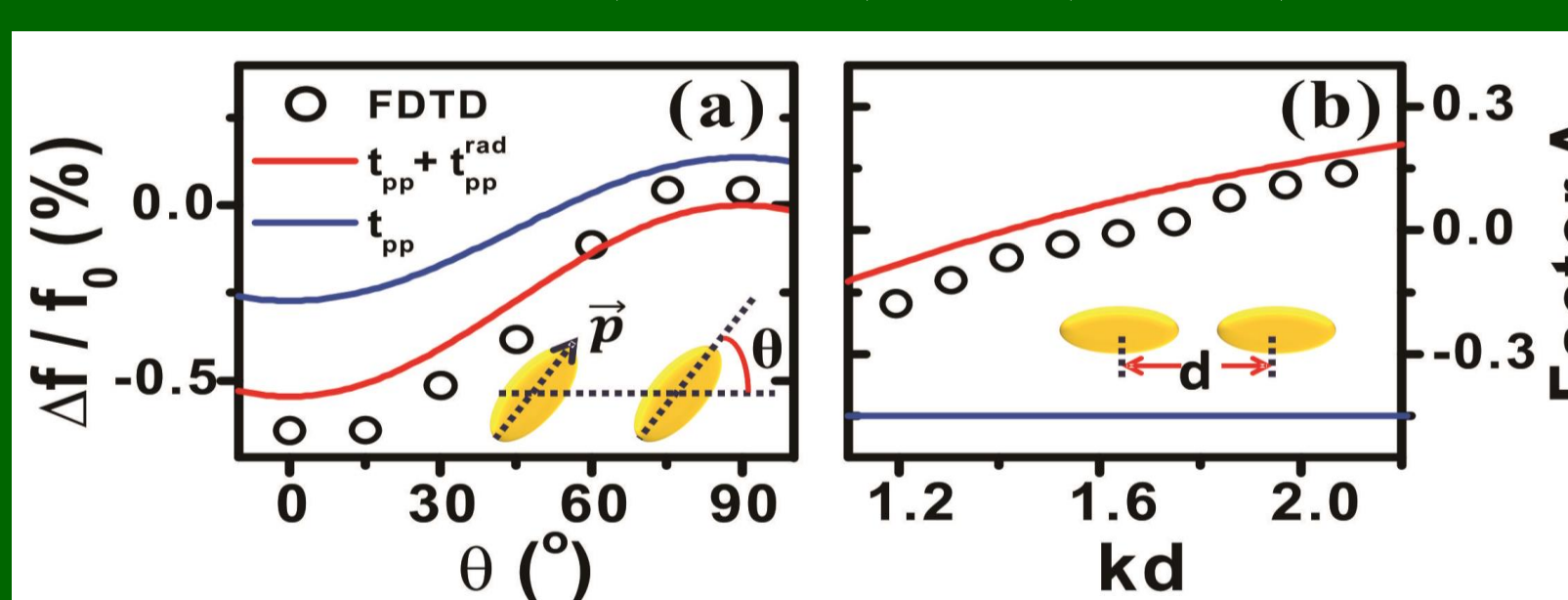


- gold nanorods deep subwavelength (16nm long and 8 nm wide)
- Coupling strength depends on: Orientations distance

- Electric Radiation Correction

$$t_{1,2} \approx t_{pp} + t_{pp}^{rad} = \frac{f_0 |\vec{p}|^2}{8\pi\epsilon_0 \langle \Phi | \Phi \rangle d^3} \left[ \left(1 - \frac{(kd)^2}{2}\right) - \left(3 + \frac{(kd)^2}{2}\right) \cos^2\theta \right]$$

$$A = \Delta f(\theta = 90^\circ) / \Delta f(\theta = 0^\circ)$$



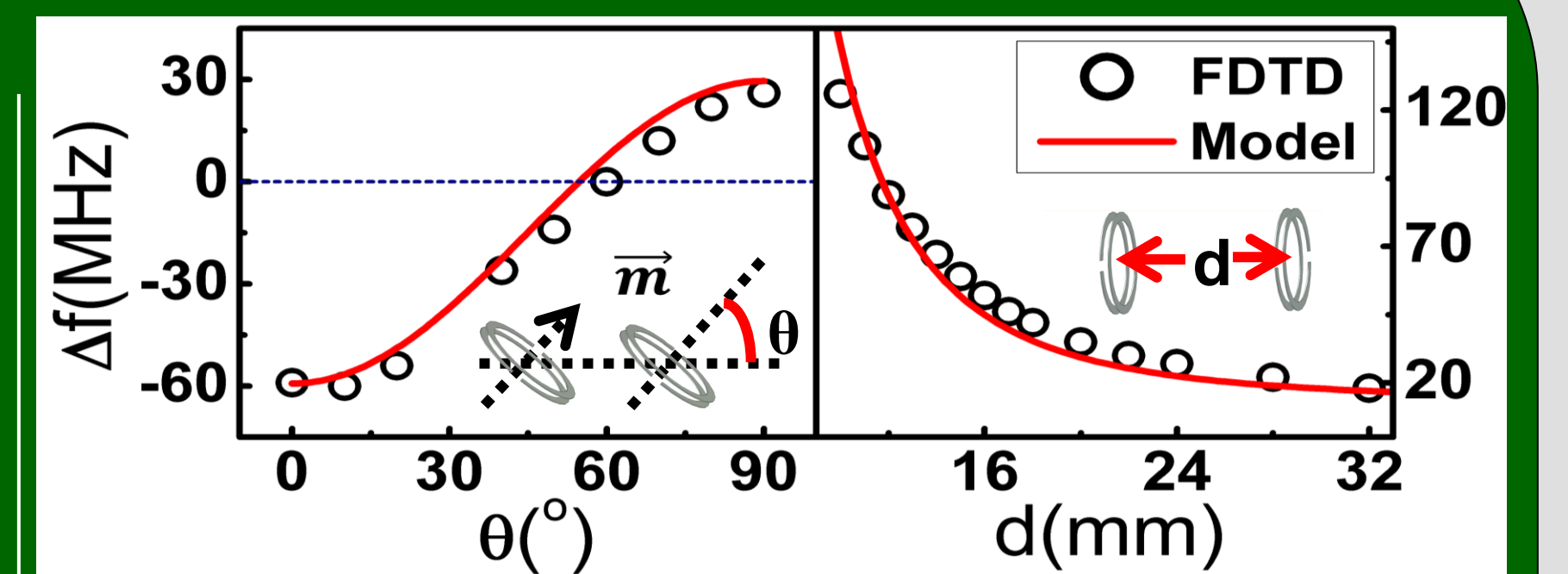
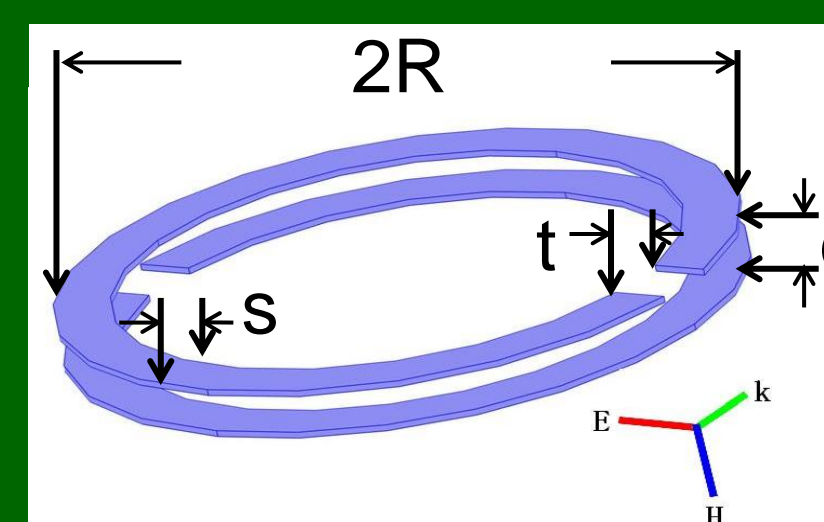
- gold nanorods NOT deep subwavelength (80nm long and 40 nm wide)

- Magnetic dipolar interaction

$$\Delta f = 2t_{pp} \approx \frac{f_0 \mu_0}{4\pi} \frac{(1-3\cos^2\theta)|\vec{m}|^2}{d^3}$$

- BC-SRR [6]: Pure Magnetic resonance

R=4.8mm t=1.0mm  
S=0.8mm d=0.7mm

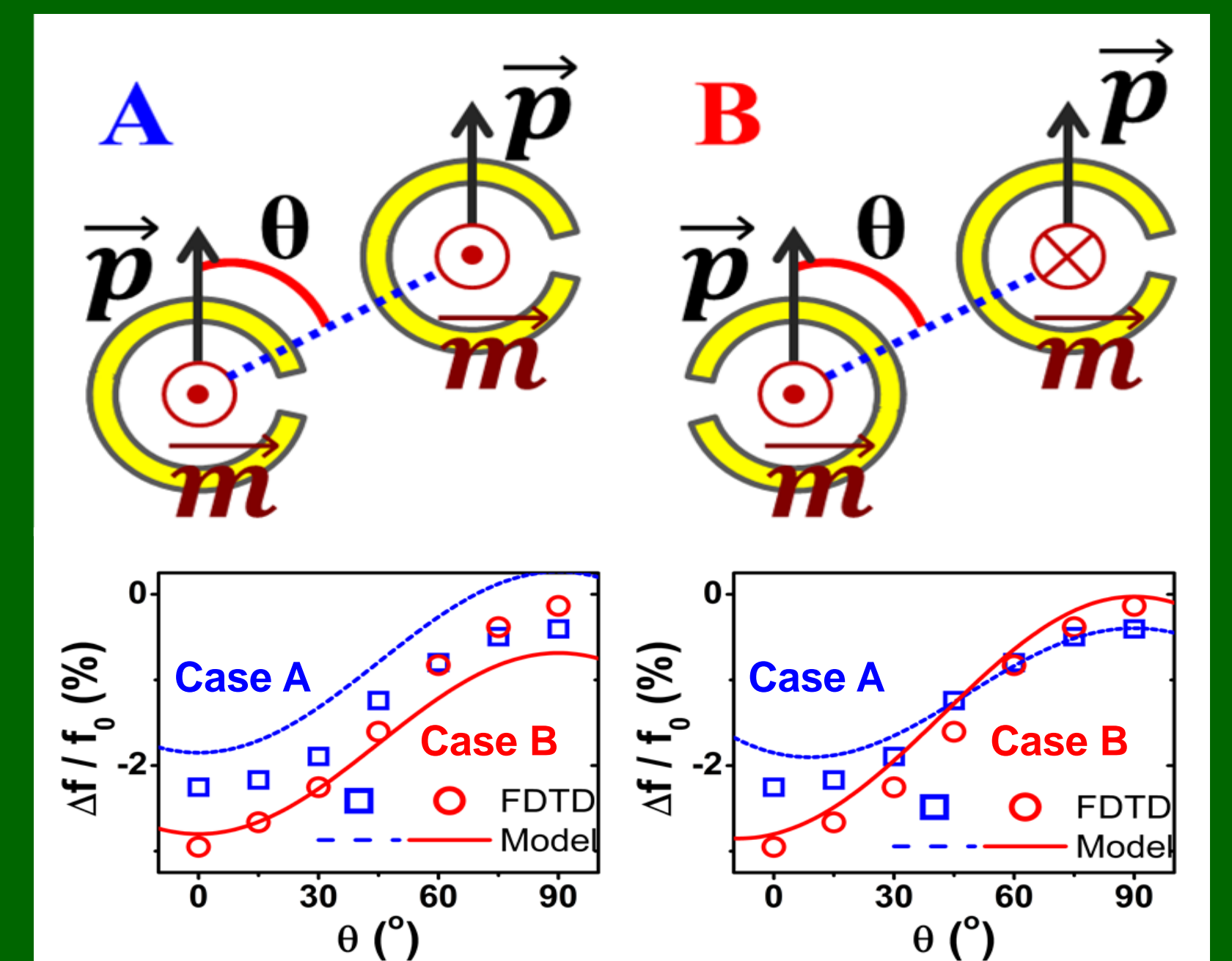


- Coupling strength depends on: Orientations Distance

- EM Cross-interacting Term

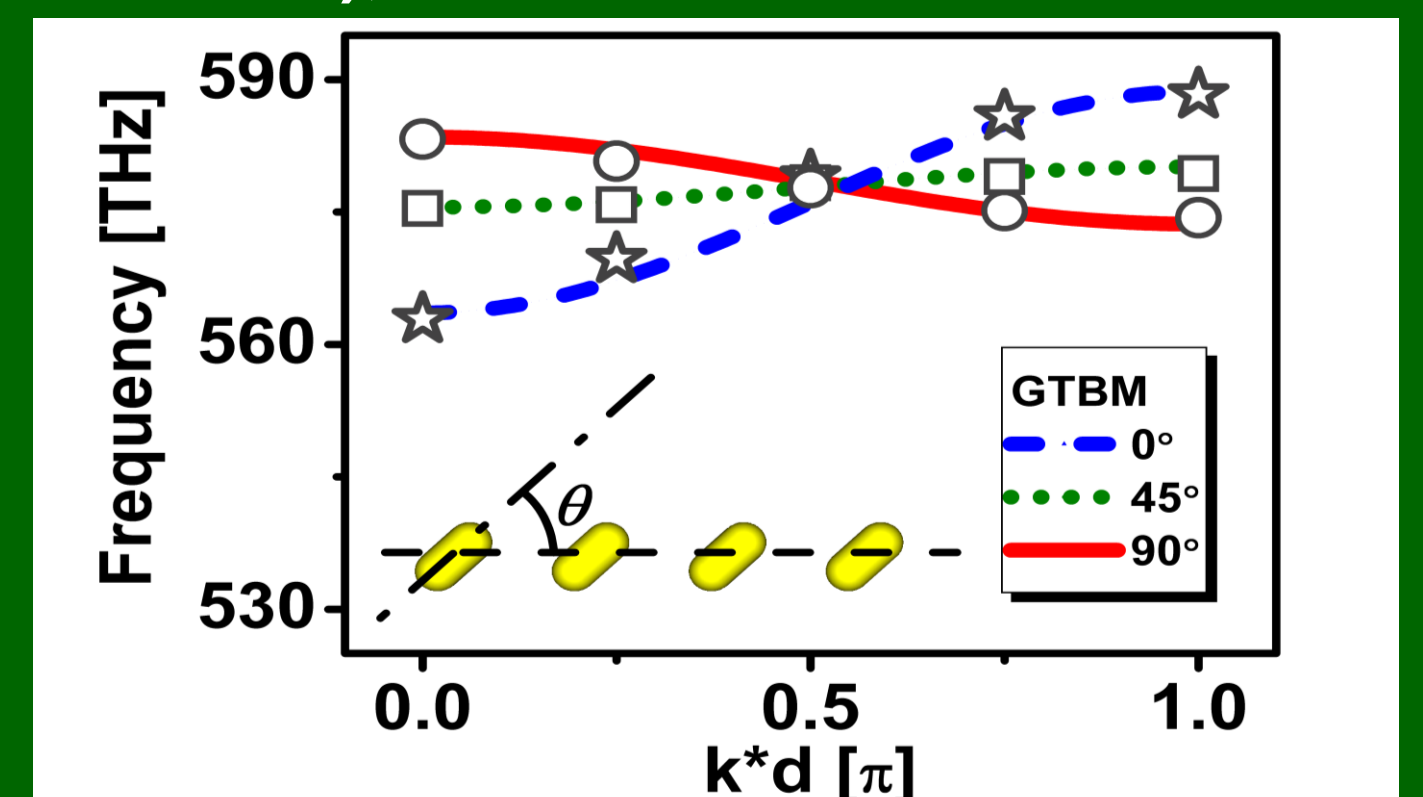
$$t_{1,2} \approx t_{pp} + t_{pp}^{rad} + t_{mm} + t_{pm}$$

$$= \frac{f_0}{8\pi \langle \Phi | \Phi \rangle d^3} \left\{ \frac{|\vec{p}|^2}{\epsilon_0} \left[ \left(1 - \frac{(kd)^2}{2}\right) - \left(3 + \frac{(kd)^2}{2}\right) \cos^2\theta \right] \pm \mu_0 |\vec{m}|^2 \mp \frac{\omega_0 |\vec{p}| |\vec{m}| d}{\epsilon_0 c^2} \sin\theta \right\}$$



## III. APPLICATIONS

- Dispersions of plasmonic modes in a gold nanorods chain with different orientation angle  $\theta$  ( $f = f_0 - t_{1,2} \cos(ka)$ , a is the lattice constant),



- ultra-slow plasmon transport velocity at particular angle near 45°
- Rabi-like oscillations between two nanorods

## Conclusions

- An Effective model is derived for coupling in general plasmonic systems
- Derived from first principles (directly based on Maxwell Equations)
- Verified by full wave simulations
- Some fascinating phenomena demonstrated

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[4] S. Kim, et al., Nature, 453, 757 (2008)  
[5] B. Xi, et al., Phys. Rev. B, 83, 165115 (2011)  
[6] R. Marqués, et al., IEEE Trans. Antennas Propag, 51,2572 (2003)